

ANNEX I

Form I.1

(Articles 33, 34, 35 and 37 of the Law on Investment)

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

APPLICATION FOR PROJECT EXECUTION

To:(name of the Investment Registration Authority)

The investor(s) below apply for the project as follows:

I. INVESTORS

1. The first investor:

a) For individuals:

Full name: Gender:

Date of Birth: Nationality:

ID/Passport No.:

Date of issue:Place of issue:

Other identity documents (in the absence of ID card/Passport)

Identity document No.:

Date of issue:Expiration date :Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel: Fax: Email:

b) For enterprises/organizations:

Name of enterprise/organization:.....

Establishment Decision or Certificate of Business/Enterprise Registration or enterprise ID number or Investment License/ Investment Certificate/ Investment Registration Certificate No.:..... or equivalent legal documents.....

Date of issue:Issuer:

Headquarters address:.....

Tel: Fax: Email: Website:

The foreign charter capital ratio (for enterprises/business organizations incorporated in Vietnam):

No.	Name of foreign investors	Nationality	Contributions		Percentage (%)
			VND	USD	

Ratio of foreign general partners in the partnership (for partnerships incorporated in Vietnam):
:.....

Information about the legal representative of the enterprise/organization registering for investment:

Full name: Gender:

Title: Date of birth:Nationality:

ID/Passport No.:

Date of issue: Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel: Fax: Email:

2. Co-investors: Declaration of co-investors' information shall be made as per that of the first investor

II. INFORMATION ON THE BUSINESS ORGANIZATION EXPECTED TO BE ESTABLISHED (for foreign investors under the form of business organizations)

1. Name of business organization:

2. Form of business organization:

3. Charter capital: dong (in letters) or USD (in figures)

4. Ratio of charter capital contribution:

No.	Name of investor(s)	Contributions		Percentage (%)
		VND	USD	

III. PROJECT DESCRIPTION

Name of project:

Refer to the project proposal for further details.

IV. INVESTOR(S)/ORGANIZATION'S COMMITMENTS:

We hereby commit ourselves to:

1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.
2. Strictly comply with Laws of Vietnam and provisions of the Decision on Investment Policies/Investment Registration Certificate.

V. Attached documents:

- Documents specified in clause 1, Article 33; or clause 1, Article 34; or clause 1, Article 35; or clause 2, Article 37 of the Law on Investment (specify name of documents depending on types of projects).
- Other relevant documents (if any):

....., [location and date]

Investor(s)

(Signature(s) of each investor, full name; title and seal (if any))

Form I.2

(For projects prescribed in the Decision on Investment Policies –Article 33, 34 and 35 of the Law on Investment)

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

PROJECT PROPOSAL

(Enclosed with the application for project execution dated.....)

We hereby propose a project as follows:

II. PROJECT PROPOSAL

1. Project name and location:

1.1. Name of project:

1.2. Location of project: :

(For projects located outside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the address, street/hamlet, ward/commune, district, province/city. For projects inside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the street number, lot number, name, district and province/city.)

2. Project Objectives:

No.	Objectives	Name of business lines (by VSIC level 4)	Code of business lines by VSIC (level 4)	CPC (*) code (for business lines specified in CPC, if any)
1	(Main business lines)			
2			

Notes:

- The first business line stated means the main business line of the project.
- (*) Codes by CPC shall apply only to business lines that require foreign investors to meet defined criteria for obtaining the Investment Registration Certificate.

3. Project scale:

- Design capacity:
- Products or services:
- Expected area of land /water surface and construction site (m^2 or ha):
- Architecture (building area, floor area, number of storey, the height of works,):

Multistage projects shall be specified by each specific stage.

4. Proposal for land use *(for projects applying for land lease, land allocation and land repurposing under point D, clause 1, Article 33 of the Law on Investment.*

4.1. Construction site location

- Overall description of the construction site (address, boundaries, geographic location);
- Documents/or certificates of land use right (if any);

- 4.2. Current land-use structure (*attach the schedule of current land-use structure and land-use map*)
- 4.3. Land use projections (*specify the usable area, n and land use demand of each project component*)
- 4.4. Explanation for the fulfillment of requirements for land allocation, land lease and land repurposing under applicable laws.
- 4.5. Projection of the plan and schedule of land allocation, land lease or land repurposing under laws on land.
- 4.6. Master plans for site clearance and compensation and relocation (*if any*):

5. Investments:

5.1. The total investment: dong (*in letters*) and USD (*in figures*), including:

Fixed capital:(*in letters*) dong and(*in figures*) USD

Including

- Costs of land compensation and relocation
- Land /water surface lease,....:
- Construction cost:
- Costs of equipment, machinery, technology and brands;
- Cost of fixed assets
- Contingency;

Total:

b) Working capital: dong (*in letters*) and USD. (*in figures*).

5.2. Sources of financing.

a) Contributed capital (*specify*):

No.	Name of investors(s)	Contributions		Percentage (%)	Contribution methods (*)	Contribution schedule
		VND	USD			

Notes:

(*): *Contribution methods: specify values of cash, machinery, equipment, land use right and technological know-how, etc.*

b) Mobilized capital: specify the amount of capitals, capital mobilization methods (*loans form credit institutions/ the holding company, etc.*) and expected disbursement schedules.

c) Other sources of financing:

6. Project duration:

7. Project schedule (*specify by month (or quarter)/year. Eg. January (or the first quarter) of 2008*): projections of investment schedule, site clearance compensation, construction schedule, operation time....

8. Personnel (*specify the number of domestic workers and foreign workers for each stage*):...

9. Socio-economic impact assessment

- -Most important impacts of the project on the local's socio-economic development (job creation, contribution to State budget or technology transfer...)
- Environmental Impact Assessment: shall be made in accordance with laws on environmental protection.

10. Technology description: (for projects applying technology specified in the List of technologies limited to be transferred under laws on technology transfer (hereinafter referred to as "the List") – prescribed in point e, clause 1, Article 33 of the Law on investment).

- Name of technology
- Origin
- Technological process flow diagram;
- Technical specifications
- The use of equipment, machinery and technology lines
- Explanation on the capability of fulfilling requirements for reception and transfer of technologies on the List;
- Projection of progress of completion of procedures for reception and transfer of technology on the List.

11. Explanation on the fulfillment of investment requirements for foreign investors (if any):

III. PROPOSALS FOR INVESTMENT ASSISTANCE (specify legal basis for proposals)

1. Enterprise income tax incentives:

Applicable laws (specify applicable laws and articles):

2. Import tax incentives:

Applicable laws (specify applicable laws and articles):

3. Land tax incentive

Applicable laws (specify applicable laws and articles):

4. Proposals for investment assistance (if any):

....., [location and date]

Investor(s)

(Signature(s) of each investor, full name; title and seal (if any))

Form I.3

(For projects other than those specified on the Decision on Investment Policies – clause 2, Article 37 of the Law on Investment)

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

PROJECT PROPOSAL

(Enclosed with the application for project execution dated.....)

I.NAME OF INVESTOR(S) (Specify).....

We hereby propose a project as follows:

II. PROJECT PROPOSAL

1. Project name and location:

1.1.Name of project:

1.2.Location of the project: :

(For projects located outside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the address number, street/hamlet, ward/commune, district, province/city. For projects inside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the street number, lot number, name, district and province/city.)

2. Project Objectives:

No.	Objectives	Name of business line (by VSIC level 4)	Code of business line by VSIC (level 4)	CPC (*) code (for business lines specified in CPC, if any)
1	(Main business lines)			
2			

Notes:

- The first business line stated means the main business line of the project.
- (*) Codes by CPC shall apply only to business lines of the industries that require foreign investors to meet defined criteria for obtaining the Investment Registration Certificate.

3. Project scale:

The project scale is specified as follows:

- Expected area of land/water surface and construction site (m² or ha):
- Design capacity:
- Products/services:
- Architecture (building area, floor area, number of storey, the height of works,):

For multistage projects, each state shall be specified as above.

4. Investment volume:

4.1. The total investment volume: dong (in letters) and USD (in figures), including:

- a) Fixed capital: dong (in letters) and USD (in figures).
- b) Working capital: (in letters)dong and (in figures) USD.

4.2. Sources of financing:

a) Contributed capital (*specify*):

No.	Name of investor(s)	Contributions		Percentage (%)	Contribution method	Contribution schedule
		VND	USD			

Notes:

(*): *Contribution methods: specify values of cash holdings, machinery, equipment, land use right and technological know-how, etc.*

b) Mobilized capital: specify the amount of capital, capital mobilization methods (*from credit institutions/ the holding company, etc.*) and the expected disbursement schedule.

c) Other sources of financing:

5. Project duration:

6. Project schedule (*specify by month (or quarter)/year. Eg. January (or the first quarter) of 2008: projection of investment schedule, site clearance compensation, construction schedule, operation time...*)

7. Personnel: (*specify the number of domestic and foreign workers by each project stage*).

8. Socio-economic impact assessment: - The most important impact on the local's socio-economic development (job creation, contribution to state budgets, technology transfer, etc.)

- The environmental Impact Assessment shall be made in accordance with laws on environmental protection

9. Explanations of the fulfillment of investment requirements for foreign investors (*if any*):

III. PROPOSALS FOR INVESTMENT ASSISTANCE (*specify legal basis for proposals*)

1. Enterprise income tax incentives:

Applicable laws (*specify applicable laws and articles*):

2. Import tax incentives:

Applicable laws (*specify applicable laws and articles*):

3. Land tax incentives

Applicable laws (*specify applicable laws and articles*):

4. Proposals for investment assistance (*if any*):

....., [location and date]

Investor(s)

(Signature(s) of each investor, full name; title and seal (if any))

Form I.4

(point a, clause 1, Article 26 of the Law on Investment)

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

REGISTRATION OF CAPITAL CONTRIBUTION/ SHARE/STAKE/CONTRIBUTIONS PURCHASE

To: The Department of Planning and Investment of (province/city).....

The investor(s) below registers for capital contribution/share purchase/repurchase of capital contributed to(name of business organization) as follows:

I. INVESTORS

1. The first investor:

a) For individuals:

Full name: Gender:

Date of birth: Nationality:

ID/Passport No.:

Date of issue: Place of issue:

Other identity documents (in the absence of ID card/Passport) :

Identity document No.:

Date of issue: Expiration date: place of issue:

Permanent residential address:.....

Current residential address:.....

Tel: Fax: Email:

b) For enterprises/organizations:

Name of enterprise/organization:.....

Establishment Decision No. or Certificate of Business/Enterprise Registration or enterprise ID number or Investment License/ Investment Certificate/ Investment Registration Certificate No.:.....

Date of issue: Issuer:

Headquarters address:.....

Tel: Fax: Email: Website:

Information about the legal representative of the enterprise/organization registering for investment:

Full name:..... Gender:

Title: Date of birth:..... Nationality:

ID/Passport No.:

Date of issue: Place of issue

Permanent residential address:.....

Current residential address:.....

Tel: Fax: Email:

2. Co-investors: (declaration of co-investors' information shall be made as per that of the first investor)

II. INFORMATION ON THE BUSINESS ORGANIZATION TAKING CONTRIBUTIONS/SHARES/STAKE:

1. Name of business organization:

- In Vietnamese:
- In foreign languages (if any):
- In abbreviated form (if any):

2. Enterprise ID number: Date of issue for the first time:

3. Forms of enterprises :

4. Headquarters address: (For projects located outside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the address, street/hamlet, ward/commune, district, province/city.

For projects inside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the street number, lot number, name , district and province/city.)

5. Lines of business:

No.	Name of business lines	Code of business lines by VSIC (level 4)

6. Charter capital: dong (in letters) and USD (in figures).

7. The existing ratio of the foreign charter capital in the business organization:

No.	Name of foreign investors	Contributions		Percentage (%)
		VND	USD (if any)	

III. INFORMATION ON THE BUSINESS ORGANIZATION AFTER TAKING CONTRIBUTIONS/SHARES/STAKE:

1. Charter capital: dong (in letters) and USD (in figures).

2. Foreign charter capital ratio:

No.	Name of foreign investors	Contributions		Percentage (%)
		VND	USD (if any)	

3. Lines of business (level 4):

No.	Name of Industry	Code of business lines by VSIC (level 4)	CPC (*) code (for business lines specified in CPC, if any)

(*) Codes by CPC shall apply only to business lines that require foreign investors to meet defined criteria for obtaining the Investment Registration Certificate.

IV. Explanations of the fulfillment of investment requirements for foreign investors (if any).

(For the ownership ratio of charter capital, forms of investment, scope of operation, Vietnamese partners in the project and others under laws of Vietnam and international agreements.

V. INVESTOR(S)/ORGANIZATION'S COMMITMENTS:

We hereby commit ourselves to:

1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.
2. Strictly comply with Laws of Vietnam and provisions of the Investment Registration Certificate.

VI. V. Attached documents:

Documents specified in point b, clause 2, Article 26 of the Law on Investment (*specify attached documents*).

....., [location and date]

**Business organization taking
contributions/shares/stake**

Legal representative
(Signature, full name; title and seal (*if any*))

Investor(s)

(Signature(s) of each investor, full name;
title and seal (if any))

Form I.5

(point a, clause 4, Article 49 of the Law on Investment)

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

APPLICATION FOR ESTABLISHMENT OF PROJECT EXECUTIVE OFFICE

To:(name of Investment Registration Authority)

The investor(s) below applies for establishment of the project executive office as follows:

I. INVESTORS

1. a) For individuals:

Full name:..... Gender:.....

Date of birth:..... Nationality:

ID/Passport No.:

Date of issue: Place of issue:

2. b) For enterprises/organizations:

Name of enterprise/organization:.....

Establishment Decision No. or Certificate of Business Registration /Investment License/ Investment Certificate/ Investment Registration Certificate No.:.....

Date of issue:..... Issuer:.....

Representative office(s) in Vietnam (if any):

Representative office address in Vietnam (if any):

II. PROJECT DESCRIPTION

1. Name of project:

2. Project code/Investment Registration Certificate/Certificate of Investment/Investment License/Business License No.

3. Date of issue (for the first time):.....

4. Issuer:

5. Project location :

6. Project objectives:

III. REGISTRATION OF PROJECT EXECUTIVE OFFICE:

1. Name of representative office:

- In Vietnamese:

- In foreign languages (if any):

- Abbreviated form (if any):

2. Office address (address, street/hamlet, ward/commune, district, province/city:

3. Scope of executive office's operation (specify contents and scope of operation) :

4. Duration of operation (specify the number of years from the date of issue of Certificate of registration of executive office operation) :

5. Information of the Chief of Executive Office:

Full name:..... Gender:

Date of birth Nationality: ..

ID/Passport No.:

Date of issue: Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel: Fax: Email:

IV. INVESTOR(S) COMMITMENTS:

We hereby commit ourselves to:

1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.
2. Strictly comply with Laws of Vietnam and provisions of the Certificate of Registration of Project Executive Office.

V. Attached documents:

1. Documents specified in point b, c and Article, clause 4, Article 49 of the Law on Investment (*specify attached documents*).
2. Documents as proof of the right to enjoyment of the project location (*land lease agreements, etc.*)

.....,[location and date].....

Investor(s)

(Signature, full name; title and seal (*if any*))

Form I.6

(For adjusted projects specified in the Decision on Investment Policies under Article 34, 35, 36, 37 of the Decree 118/2015/ND-CP)

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

APPLICATION FOR ADJUSTMENT TO INVESTMENT PROJECTS

To: (Name of Investment Registration Authority)

The investor(s) below request for adjustments to investment projects specified in the Decision on Investment policies..... (number, dated of issue, issuer) and adjustments to Investment Registration Certificates (if any) as follows:

I. INVESTORS

1. The first investor:

For individuals:

Full name:..... Gender:.....

Date of birth:..... Nationality:

ID/Passport No.:

Date of issue: Date of birth:

Other identity documents: (in the absence of ID card/Passport):

Identity document No.

Date of issue: Expiration date: Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel:..... Fax: Email:

b) For enterprises/organizations:

Name of enterprise/organization:.....

Decision on Establishment or Certificate of Business/Enterprise Registration or Enterprise ID or Investment License/ Certificate of Investment/ Investment Registration Certificate No.:.....

Date of issue:..... Issuer:.....

Headquarters address:.....

Tel: Fax: Email: Website:

Information about the legal representative of the enterprise/organization registering for investment:

Full name:..... Gender:.....

Title: Date of birth:nationality:

ID/Passport No.:

Date of Issue: Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel:..... Fax: Email:

2. Co-investors: Declaration of co-investors' information shall be made as per that of the first investor

II. INFORMATION ON BUSINESS ORGANIZATIONS EXECUTING THE INVESTMENT PROJECT (if any)

1. Name of business organization:

2. Enterprise ID number/Reference number of Certificate of Investment/Decision on Establishment : issued for the first time by.....(name of issuer).

III. SCOPE OF ADJUSTMENTS TO THE DECISION ON INVESTMENT POLICIES

1. Adjustment 1:

- The content specified in the Decision on investment policies:.....
- Adjustment requested:
- Reasons for adjustment:

2.2. Other adjustments (specify the same as the adjustment 1):

IV. SCOPE OF ADJUSTMENTS TO THE INVESTMENT REGISTRATION CERTIFICATE (if any):

Request for adjustments to the project..... (name of investment project) shall be presented as follows:

1. Granted Investment Registration Certificate(s)/Certificate(s) of Investment/Investment License(s)/Business License(s):

No.	Name of Certificate	Certificate No./Project code	Date of issue	Issuer	Remarks (valid or expired)

2. Contents of adjustments:

2.1. Adjustment 1:

- Contents specified in the Investment Registration Certificate/Certificate of Investment/Investment License/Business License:.....
- Adjustment requested:.....
- Reasons for adjustment:.....

2.2. Other adjustments (specify the same as the adjustment 1):

3. Documents related to adjustments (if any).

V. INVESTOR(S)/ORGANIZATION'S COMMITMENTS:

We hereby ourselves themselves to:

- Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.
- Strictly comply with Laws of Vietnam and provisions of the Decision on Investment Policies/Investment Registration Certificate.

VI. Attached documents:

1. Documents specified in Article 33 of the Decree 118/2015/ND-CP (itemizing a list of attached documents).

Including the Decision on adjustments to the project of the Investor (the Decision and valid copies of minutes of meetings about adjustments to the project held by the Board of members/the General Meeting of Shareholders/ general partners/ owners of the economic organizations executing the projects or other legal documents under provisions of laws).

2. Copies of the Decision on Investment Policies and the existing Investment Registration Certificate/ Certificate of Investment / Investment License/Business License(if any).

.....,[location and date].....

Investor(s)

Signatures of each investor, full name; title and seal (*if any*)

Form No. I.7

Application for adjustments to the investment project

(Applicable to projects that are not required to have decisions on investment policies according to Article 33 of the Decree No. 118/2015/ND-CP)

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

APPLICATION FOR ADJUSTMENTS TO INVESTMENT PROJECT

Applicable to amendments to the investment registration certificate/ investment certificate/ investment license/ business license of projects that are not required to amend their investment policies)

To: *[name of investment registration authority]*

The investor requests amendments to the investment project with the following information:

THE INVESTOR

1. The first investor:

a) Regarding the individual investor:

Full name: Gender:

Date of birth: / / Nationality:

ID card/passport No.:

Issued on: by:

Other identification document(s) (without ID card or passport):

The identification document No.:

Issued on: by: Expiry date:

Permanent residence address:

Current address:

Phone number: Fax: Email:

b) Regarding the institutional investor:

Name of the organization:

The decision on establishment/business or enterprise registration certificate (ERC/BRC)/enterprise code/ investment license (IL)/investment registration license (IRC) No.:

Issued on: By:

Address of the head office:

Phone number: Fax: Email: Website:

Information about the investor's legal representative:

Full name: Gender:

Position: Date of birth: Nationality:

ID card/passport No.:

Issued on: By:

Permanent residence address:

Current address:

Phone number: Fax: Email:

2. The next investor: Information is declared similar to the first investor

II. INFORMATION ON THE BUSINESS ENTITY EXECUTING THE INVESTMENT PROJECT

1. Name of the business entity:

2. Enterprise code/IRC No. /decision on establishment No.: issued by [name of the issuing authority] for the first time on:

III. AMENDED INFORMATION:

The investor requests amendment to the [name of the project] with the following information:

1. Amendments to the issued IRC/investment certificate (IC)/IL/business license (BL):

No.	Name of document	The document No./code	Issued on	Issued by	Note (valid or expired)

2. Amended contents:

2.1. The first amended content:

- Contents prescribed in the issued IRC/IC/IL/BL:
- Are amended into:
- Reasons for amendments:

2.2. The next amended content (specify information similar the first content):

3. Documents related to amended information (if any).

IV. THE INVESTOR/BUSINESS ENTITY MAKES A COMMITMENT TO:

- a) Take legal responsibility for the legislation, accuracy and truthfulness of the application and documents submitted to the competent authority.
- b) Comply with regulations of Vietnam law and those specified in the IRC

V. ENCLOSED DOCUMENTS:

1. The documents mentioned in Article 33 of the Decree No. 118/2015/ND-CP (specify each enclosed document).

Including the decision on amendment to the investment project made by the investor and a valid copy of minutes of the meeting held by the board of member/general meeting of shareholders/ general partner(s)/owner of the business entity executing the investment project in terms of amendment to the investment project or other legal documents prescribed by law.

2. Copies of issued IRC/IC/IL/BL:

[place and time]

Investor(s)

Signature, full name, position and seal (if any) of each investor

Form I.8

Report on project progression up to the time of adjustment

(Articles 33, 34, 35, 36, 37 of the Decree No.118/2015/ND-CP)

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

PROJECT PROGRESS REPORT

(Enclosed with the application for adjustments to Investment Registration Certificate)

To:.....(*name of investment registration authority*)

Investor(s) (*full names of investor(s)*) shall submit an investment project status report (*name of the investment project, date of issue, place of issue*) up to date..... including the following contents:

1. **The project progress:** site clearance, relocation; construction, uses of land; machinery and equipment; operation, production and business.
2. **Disbursement schedule:** the total disbursed capital (*specify the amount of disbursed capital and its proportion to the total registered investment*):.....,
where: -contributed capital (*specify the amount of each investor's contribution*)
-Amounts of loans (*specify the values of loans and their sources*).....
-Other sources of financing:.....

3. The progress of implementation of other contents specified in the Investment Registration Certificate or Decision on Investment policies:

4. Brief summaries of the investment project status up to the time of reporting:

- Revenue:
- Import-export value:
- Profits:
- Granted investment incentives:
- Workers: The total workers, the number of Vietnamese and foreign workers (*if any*);

5. Proposals:

.....,[*location and date*].....

Investor(s)/ business organization(s)

(Signature, full name; title and seal (*if any*))

Form I.9

(Clause 1, Article 46 of the Law on Investment)

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

APPLICATION FOR EXTENSION OF PROJECT SCHEDULE

To:(name of Investment Registration Authority)

I. INVESTORS

1. The first investor:

a) For individuals:

Full name:..... Gender:

Date of birth: Nationality:

b) For enterprises/organizations:

Name of enterprise/organization:.....

Establishment Decision No. or Certificate of Business Registration or Investment License/ Investment Certificate / Investment Registration Certificate No.:.....

Date of issue:..... Issuer:.....

2. Co-investors: (declaration of co-investors' information shall be made as per that of the first investor)

II. INFORMATION ON BUSINESS ORGANIZATION(S) EXECUTING PROJECTS

1. Name of business organization:

2. Enterprise ID number/Investment Certificate/Establishment Decision No
:..... issued for the first time on.....
by.....(name of issuer).

III. PROJECT OPERATION STATUS AND FULFILLMENT OF FINANCIAL OBLIGATIONS TO THE STATE OF VIETNAM

1. Project operation status

1.1. fulfillment of financial obligations (specify fulfilled obligations and unfulfilled obligations):

1.2. Project progress (for multistage projects, specify by each stage):

- Capital contribution schedule and mobilization progress:
- Fundamental construction progress and commencement of operation (if any):
- Completion of project objectives:

2. Financial obligations

- Paid taxes, fees, land rents:
- Outstanding taxes, fees, land rents (if any):
- Financial obligations to relevant parties (if any): salaries for employees or payables to the third party,....

IV. ELEMENTS OF EXTENSION OF PROJECT SCHEDULE

(name of project).....whose Investment Registration Certificate/ Investment Certificate/Investment License/Business License No..... is issued on.....by.....(name of issuer) is proposed to have its schedule extended as follows:

1. Extension elements (specify the extension of invested capital contribution schedule, construction and operation schedules and milestones for completion of project objectives...)

2. Duration of extension:

3. Reasons for schedule extension:

4. Proposed project execution plans:

- Capital contribution schedule:

- Fundamental construction progress and commencement of operation:

INVESTOR(S)/ORGANIZATION'S COMMITMENTS:

We hereby commit ourselves to:

1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.

2. Continue to carry out the project.

3. Strictly comply with Laws of Vietnam and provisions of the Investment Registration Certificate.

VI. V. Attached documents:

1. Copies of the Investment Registration Certificate/ Investment Certificate / Investment License/Business License or Investment Decision.

2. Contractors' decisions on extension of the project schedule (*the Decision and valid copies of minutes of meetings held by the Board of members/the General Meeting of Shareholders/ general partners/ owners of the business organizations executing the project or other legal documents under provisions of laws*).

.....,[location and date].....

Investor(s)/ business organization(s)
(signature, full name, title and seal (if any))

Form I.10

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

NOTICE OF SUSPENSION OF PROJECT EXECUTION
(clause 1, Articles 47 of the Law on Investment)

To:(name of Investment Registration Authority)

I. INVESTORS

1. The first investor:

a) For individuals:

Full name:..... Gender:

Date of birth: Nationality:

b) For enterprises/organizations:

Name of enterprise/organization:.....

Establishment Decision/Certificate of Business Registration or Investment License/ Investment Certificate / Investment Registration Certificate No.:.....

Date of issue:..... Issuer:.....

2. Co-investors: (declaration of co-investors' information shall be made as per that of the first investor)

II. INFORMATION ON BUSINESS ORGANIZATIONS EXECUTING PROJECTS

1. Name of business organization:

2. Enterprise ID number/Investment Certificate/Establishment Decision No
:.....issued for the first time on.....
by.....(name of issuer).

III. PROJECT OPERATION STATUS AND FULFILLMENT OF FINANCIAL OBLIGATIONS TO THE STATE OF VIETNAM

1. Project operation status

The fulfillment of financial obligations (specify fulfilled obligations and unfulfilled obligations):

1.2. Project execution progress (specify the progress of each stage of multistage projects):

- Capital contribution schedule and capital mobilization progress:
- Fundamental construction progress and commencement of operation (if any):
- Completion of project objectives.....

2. The status of financial obligation fulfillment

- Paid taxes, fees, land rents:
- Outstanding taxes, fees, land rents (if any):
- Other financial obligations to relevant parties (if any): salaries for employees or payables to the third party,....

IV. PROJECT SUSPENSION

(name of project)whose Investment Decision/ Investment Registration Certificate/ Investment Certificate/Investment License/Business License No.....is issued on.....by.....(name of issuer) is proposed to be suspended as follows:

1. Contents of suspension (specify suspension items, in whole or in part):
2. Duration of suspension (from.....to.....):
3. Reasons for suspension:

4. Plans for restarting the project.

- Capital contribution schedule:

- Fundamental construction progress and commencement of operation:

V. INVESTOR(S) COMMITMENTS:

We hereby commit ourselves to:

1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.

2. Strictly comply with Laws of Vietnam and provisions of the Investment Registration Certificate.

V. Attached documents:

1. Copies of the Investment Decision/Investment Registration Certificate/Investment Certificate/Investment License/Business License;

2. The investor's decision on suspension of the project (*the Decision and valid copies of minutes of meetings held by the Board of members/the General Meeting of Shareholders/ general partners/ owners of the business organizations executing the project or other legal documents under provisions of laws*).

.....,[location and date].....

Investor(s)/ business organization(s)
(signature, full name, title and seal *(if any)*)

Form I.11

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

NOTICE OF PROJECT TERMINATION

(clause 1, Articles 47 of the Law on Investment)

To:(name of Investment Registration Authority)

I. INVESTORS

1. The first investor:

a) For individuals:

Full name:..... Gender:.....

Date of birth: Nationality

b) For enterprises/organizations:

Name of enterprise/organization:.....

Establishment Decision/ Certificate of Business Registration or Investment License/ Investment Certificate / Investment Registration Certificate No.:.....

Date of issue:..... Issuer:.....

2. Co-investors: (declaration of co-investors' information shall be made as per that of the first investor)

II. INFORMATION ON BUSINESS ORGANIZATIONS EXECUTING PROJECTS

1. Name of business organization:

2. Enterprise ID number/ Investment Certificate / Establishment Decision No : issued for the first time on.....by.....(name of issuer).

III. PROJECT OPERATION STATUS AND FULFILMENT OF FINANCIAL OBLIGATIONS TO THE STATE OF VIETNAM TO THE DATE OF TERMINATION

1. Project operation status

- Capital contribution schedule and capital mobilization progress:
- Fundamental construction progress and commencement of operation (if any):
- Completion of project objectives:

2. The status of financial obligation fulfillment

- Paid taxes, fees, land rents:
- Outstanding taxes, fees, land rents (if any):
- Other financial obligations to relevant parties (if any): salaries for employees or payables to the third party,....

IV. PROJECT TERMINATION

(Name of project) whose Decision on Investment Policies/ Investment Registration Certificate/ Investment Certificate / Investment License/Business License No is issued on.....by.....(name of issuer)shall be terminated as follows:

1. Time of termination: from[date]
2. Reasons for termination:

V. INVESTOR(S) COMMITMENTS:

We hereby commit ourselves to:

1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.

2. Strictly comply with Laws of Vietnam and provisions of the Investment Registration Certificate.

VI. V. Attached documents:

1. Copies of the Investment Registration Certificate/Investment Certificate / Investment License/Business License or Investment Decision.

2. The investor's decision on the termination of the project (*the Decision and valid copies of minutes of meetings held by the Board of members/the General Meeting of Shareholders/ general partners/ owners of the business organizations executing the project or other legal documents under provisions of laws*).

.....,[location and date].....

Investor(s)/ business organization(s)
(signature, full name, title and seal (*if any*))

Form I.12

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

APPLICATION FOR REPLACEMENT OF INVESTMENT REGISTRATION CERTIFICATE

To: (Name of Investment Registration Authority)

Investor(s) applies to replace the Investment Registration Certificate/ Investment License /Business License with the Investment Registration Certificate as follows:

I. INVESTORS

1. The first investor:

For individuals:

Full name: Gender:

Date of birth: Nationality:

ID/Passport No.:

Date of issue: Place of issue:

Other identity documents: (in the absence of ID card/Passport):

Identity document No.:

Date of issue: Expiration date: Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel:..... Fax: Email:

b) For enterprises/organizations:

Name of enterprise/organization:.....

Ref No. of Decision on Establishment or Certificate of Business/Enterprise Registration or enterprise ID number or Investment License/ Certificate of Investment/ Investment Registration Certificate No.:.....

Date of issue:..... Issuer:.....

Headquarters address:.....

Tel: Fax: Email: Website:

Information about the legal representative of the enterprise/organization registering for investment:

Full name: Gender:

Title: Date of birth: Nationality:

ID/Passport No.:

Date of issue: Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel:..... Fax: Email:

2. Co-investors: (declaration of co-investors' information shall be made as per that of the first investor)

II. INFORMATION ON BUSINESS ORGANIZATIONS EXECUTING THE PROJECT

1. Name of business organization:

2. Enterprise ID number/Reference number of Certificate of Investment/Decision on Establishment of the Business : issued for the first time by.....(*name of issuer*).

III. SCOPE OF REPLACEMENT:

1. The adjusted Investment Registration Certificate/ Certificate of Investment/Investment License/ Business License:

No.	Name of Certificate	Certificate No./ project code	Date of issue	Issuer	Remarks (Valid or expired)

2. Information requested in the Investment Registration Certificate (*refer to the application form for Investment Registration Certificate stipulated in this Circular according to the existing Investment Registration Certificate/Investment License/Business License*):

IV. INVESTOR(S)/ORGANIZATION’S COMMITMENTS:

We hereby commits ourselves to:

- 1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.
- 2. Strictly comply with Laws of Vietnam and provisions of the Investment Registration Certificate.

V. Attached documents:

Copies of the existing Certificate of Investment/Investment License/Business License:

.....[location and date]

Investor(s)/ business organization(s)
(Signature, full name; title and seal (if any))

Form I.13

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

PROJECT PROGRESS REPORT

To:(name of Investment Registration Authority)

I. BUSINESS ORGANIZATION(s) EXECUTING THE PROJECT

1. Name of business organization:

2. Enterprise ID number/Investment Certificate/Establishment Decision No :.....
for the first time on..... by.....(name of issuer).

3. Headquarters address:

(For projects located outside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the address number, street/hamlet, ward/commune, district, province/city. For projects inside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the street number, lot number, district and province/city.)

Tel: Fax:..... Email:..... Website:.....

4. Lines of business:

No.	Objectives	Name of business lines (by VSIC level 4)	Code of business lines by VSIC (level 4)	CPC (*) code (for business lines specified in CPC, if any)
1	(Main business lines)			
2			

Notes:

- The first business line stated means the main business line of the project.
- (*) Codes by CPC shall apply only to business lines that require foreign investors to meet defined criteria for obtaining the Investment Registration Certificate.

5. Charter capital:..... dong (in letters) orUSD (in figures).

6. The ratio of investor's contributions:

No.	Name of investor(s)	Nationality	Contributions		
			VND	USD	Percentage (%)

II. The new investment project is reported as follows:

1. Name of project:

2. Project objectives:

3. Project scale:

4. Project location:

(For projects located outside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the address, street/hamlet, ward/commune, district, province/city. For projects inside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the street number, lot number, district and province/city.)

5. The area of water surface used (if any):..... m² or ha.....

6. Total investment volume:..... dong (*in letters*) and.....USD(*in figures*)
Contributed capital:.....dong (*in letters*) andUSD (*in figures*), accounting for
.....% the total investment.

7. Operation duration:[number of years], from.....

8. Project progress:

- Fundamental construction progress:
- Commencement of operation (*if any*):
- Project milestones (*for multistage projects*).

9. Personnel: (*the total workers, the number of Vietnamese and foreign workers*);

10. Investment incentives or assistance (*if any*).

III. ORGANIZATION'S COMMITMENTS:

We hereby commit ourselves to:

1. Be responsible towards laws for the legitimacy, accuracy and reliability of the application and document submitted to competent state authorities.
2. Strictly comply with Laws of Vietnam.

.....,[location and date].....

Investor(s)/ business organization(s)
(signature, full name, title and seal (*if any*))

ANNEX II

Form II.1

(clause 8, Article 33 of the Law on Investment and Article 32 of the Decree No.118/2015/ND-CP)

**INVESTMENT DECISION-
MAKING AUTHORITY**

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No:..../QD - ...

.....[location and date]....

DECISION ON INVESTMENT

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to on functions, tasks, entitlement and the organizational structure of; Pursuant to the project proposal and attached document submitted on [date].....and additional documents submitted (if any) on [date]by.....

Pursuant to the appraisal bydated..... ;

DECIDE

To approve of:

1. The first investor:

a) For individuals:

Mr./Ms/Mrs.....Date of birth.....Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....; Tel:.....Email:.....

b) For organizations:

Name of Investor:.....; Certificate of Establishment No.....issued on.....by.....(name of issuer) on.....Headquarters address:.....

Legal representative: Mr./Ms/Mrs.....; Date of birth.....; Nationality....., ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....; Tel:.....Email:.....; Title:.....

2. Co-investors (if any): declaration of co-investors' information shall be made as per that of the first investor)

executing the project as follows:

Article 1: Project description

1. Name of project:

2. Project objectives:

3. Project scale:

4. Project location:

5. The area of water/land surface used (if any):..... m² or ha.....

6. Total investment volume:..... dong (in letters) and.....USD(in figures) Including:

Contributed capital:.....dong (in letters) andUSD (in figures), accounting for% the total investment. Schedule, ratio and methods of contribution (specify for each investor):

(E.g. Company A contributes 1,000,000,000 (one billion dong) equal to USD 48,000 (forty eight thousand dollars) by cash within 03 months from the date of issue of the Investment Registration Certificate)

6.2. Mobilized capital (*specify the amount and sources of financing*):..... dong (*in letters*).....USD (*in figures*).

6.3. Other sources of financing:..... dong (*in letters*).....USD (*in figures*).

7. Project lifetime:.....years, from the date of issue of the Investment Registration Certificate/ Investment Decision.

8. Project progress:

- Fundamental construction progress:
- Commencement of operation (*if any*):
- Project milestones (for multistage projects).

9. Applicable technology (*if any*):

Article 2: Investment incentives or assistance

1. Enterprise income tax incentives:

- Applicable laws:.....
- Beneficiaries and requirements for land incentive policies (*if any*):

2. Import tax incentives:

- Applicable laws :.....
- Beneficiaries and requirements for land incentive policies (*if any*):

3. Land tax incentive

- Applicable laws:.....
- Beneficiaries and requirements for land tax incentives (*if any*):

4. Investment assistance (*if any*).

Article 3. Requirements for project investors (*if any*).

Article 4. Effective period of the Investment Decision.

Article 5. This Decision is made in(*in letters*) original copies, each investors shall keep one copy. One copy shall be submitted to(*name of Investment Registration Authority*) and one shall be kept by.....(*name of investment decision-making authority*).

**HEAD OF
INVESTMENT DECISION-MAKING AUTHORITY**
(*Sign & seal*)

Form II.2

(for new investment registration certificate –Article 36 and 39 of the Law on Investment)

**INVESTMENT REGISTRATION
AUTHORITY**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

APPLICATION FOR INVESTMENT REGISTRATION CERTIFICATE

Project code:.....

Certified for the first time on.....[date]

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to the Investment Decision No.....dated.....by.....(if any);

Pursuant to on functions, tasks, entitlement and the organizational structure of;Pursuant to the proposal for the investment project and attached documents submitted on.....and additional documents (if any) submitted onby.....

(NAME OF INVESTMENT REGISTRATION AUTHORITY)

Hereby certify that:

The first investor:

a)For individuals:

Mr./Ms/Mrs.....Date of birth.....Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....

b) For organizations:

Name of Investor:.....; Certificate of Establishment No.....issued by.....(name of issuer) on.....Headquarters address:.....

Legal representative: Mr./Ms/Mrs.....Date of birth.....Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....; Title:.....

Co-investors (if any): declaration of co-investors' information shall be made as per that of the first investor

Has been duly registered the project as follows:

Article 1: Project description

1. Name of project (*capitalize*):.....

2. Project objectives:

.....(*name of business organization*) is eligible for the application of processing enterprise regulations (*for business organizations that apply for and satisfy requirements for processing enterprise regulation under applicable laws*).

3. Project scale:

4. Project location:

5. The area of water surface used (if any):..... m2 or ha

6. Total investments:..... dong (*in letters*) and.....USD(*in figures*). Where: Contributed capital:.....dong (*in letters*) andUSD (*in figures*), accounting for% the total investment.

Schedule, ratio and methods of contribution (*specify by each investor*):

(E.g. Company A contributes 1,000,000,000 (one billion dong) equal to USD 48,000 (forty eight thousand dollars) in cash, accounting for 405 of the contributed capital, within 03 months from the date of issue of the Investment Registration Certificate)

7. Project operation duration:.....years, from the date of issue of the Investment Registration Certificate/ Decision on Investment.

8. Project progress:

- Fundamental construction progress:
- Commencement of operation (*if any*):
- Project milestones (*for multistage projects*).

Article 2: Investment assistance

1. Enterprise income tax incentives:

- Applicable laws:
- Beneficiaries and requirements for incentive policies (*if any*):

2. Import tax incentives:

- Applicable laws
- Beneficiaries and requirements for incentive policies (*if any*):

3. Land tax incentives

- Applicable laws:
- Beneficiaries and requirements for incentive policies (*if any*):

4. Investment assistance (*if any*).

Article 3. Requirements for project investors:

1. Investors/ business organizations shall apply for the National Foreign Investment Information System's online accounts in accordance with applicable laws.

2.

...

Article 4. This Investment Registration Certificate is made in.....(*in letters*) original copies; each investor shall keep one copy; and one copy shall be stored at(*name if Investment Registration Authority*).

HEAD OF INVESTMENT REGISTRATION AUTHORITY
(*sign/seal*)

Form II.3

(adjusted investment registration certificates – for adjustments prescribed in Articles 33, 34, 35, 36, 37, 38 and 39 of the Decree 118/2015/ND-CP)

**INVESTMENT REGISTRATION
AUTHORITY**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

INVESTMENT REGISTRATION CERTIFICATE

Project code:

Certified for the first time:[date]

Certified for the time:.....[date]

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to the Investment Decision No.....dated.....by.....(if any);

Pursuant to the Court's judgment/Arbitration's decision.....(if any);

Pursuant to Investment Registration Certificate/Certificate of Investment/Investment License/Business License No.issued on.....by.....;

Pursuant to on functions, tasks, entitlement and the organizational structure of

Pursuant to the application for adjustments to the Investment Registration Certificate and attached document submitted on [date]and additional documents (if any) submitted on [date].....

(NAME OF INVESTMENT REGISTRATION AUTHORITY)

Hereby certify that:

(Name of project).....whose code No.....is issued on.....[date] by.....(name of issuer) and is registered adjustments as.....(briefly summarize adjustments, e.g. increase in investments or changes in project objectives).

Investor(s)

a)For individuals:

Mr./Ms/Mrs.....Date of birth..... Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....

b) For organizations:

Name of Investor:.....; Certificate of Establishment No.....issued by.....(name of issuer) on.....

Headquarters address:.....

Legal representative: Mr./Ms/Mrs.....Date of birth.....Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....; Title:.....

Co-investors (if any): declaration of co-investors' information shall be made as per that of the first investor)

Business organization executing the project:.....(name of the business organization), Enterprise ID number/ Investment Certificate/ Establishment Decision No :..... issued for the first time on.....by.....(name of issuer).

Has been duly registered the project as follows:

Article 1: Project description

1. Name of project:

2. Project objectives:

.....(*name of business organization*) is established to be eligible for the application of processing enterprise regulations (*for business organizations that apply for and satisfy requirements for processing enterprise regulation under applicable laws*).

3. Project scale:

4. Project location:

5. The area of water surface used (*if any*):..... m2 or ha.....

6. Total investment volume:..... dong (*in letters*) or..... USD (*in figures*). Including contributed capital:.....dong (*in letters*) or.....USD (*in figures*), accounting for% the total investment.

Schedule, ratio and methods of contribution (*specify by each investor*): (*E.g. Company A contributes 1,000,000,000 (one billion dong) equal to USD 48,000 (forty eight thousand dollars) in cash, accounting for 40 % of contributed capital, within 03 months from the date of issue of the Investment Registration Certificate*)

7. Project operation duration:.....years, from the date of issue of the Investment Registration Certificate/ Investment Decision.

8. Project progress:

- Fundamental construction progress:

- Commencement of operation (*if any*):

- Project milestones (*for multistage projects*).

Article 2: Investment assistance

1. Enterprise income tax incentives:

- Applicable laws:

- Beneficiaries and requirements for incentive policies (*if any*):

2. Import tax incentives:

- Applicable laws.....

Beneficiaries and requirements for incentive policies (*if any*):

3. Land tax incentives

- Applicable laws:.....

Beneficiaries and requirements for incentive policies (*if any*):

4. Investment assistance (*if any*).

Article 3. Requirements for project investors:

1. Investors/ business organizations shall apply for the National Foreign Investment Information System's online accounts in accordance with applicable laws.

2.

Article 4: This Investment Registration Certificate comes into effect from the date of its signature and replaces the Investment Registration Certificate No.....issued by.....(*name of issuer*) on.....and adjusted Certificates No.....issued on.....

Article 5: This Investment Certificate is made in(*in letters*) original copies; each investor shall keep one copy; two other copies shall be kept by the business organization executing the project and(*name of investment registration authority*).

**HEAD OF INVESTMENT REGISTRATION
AUTHORITY
(sign/seal)**

Form II.4

(for replacement of Certificate of Investment/Investment License /Business License/... under Article 61 of the Decree No. 118/2015/ND-CP)

**INVESTMENT REGISTRATION
AUTHORITY**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

INVESTMENT REGISTRATION CERTIFICATE

Project code:

Certified for the first time:.....[date]

Certified for the time:.....[date]

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to Decision No..... on approval for investment dated.....by.....(if any);
Pursuant to Investment Registration Certificate/Certificate of Investment/Investment License/Business License No.issued on.....by.....;

Pursuant to on functions, tasks, entitlement and the organizational structure of

Pursuant to the application for adjustment to the Investment Registration Certificate/ Certificate of Investment/Investment License/Business License and attached documents submitted on.....[date] and additional documents (if any) submitted on [date].....by.....

(NAME OF INVESTMENT REGISTRATION AUTHORITY)

Hereby certify that:

(Name of project).....whose project code/Investment Registration Certificate/Certificate of Investment/Investment License/Business License No. is issued on.....by (name of issuer); has been duly registered for adjustments as follows:.....(briefly summarize adjustments, e.g. increase in the investments, changes in project objectives).

Investor(s):

a) For individuals:

Mr./Ms/Mrs.....; Date of birth.....; Nationality....., ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....

b) For organizations:

Name of Investor:.....; Certificate of Establishment No.....issued on..... by.....(name of issuer).

Headquarters address:.....

Legal representative: Mr./Ms/Mrs.....Date of birth.....; Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....; Title:.....

Co-investors (if any): declaration of co-investors' information shall be made as per that of the first investor

Business organization executing the project:.....(name of business organization), Enterprise ID number/ Certificate of Investment/Decision on Establishment No.:.....issued for the first time on.....by.....(name of issuer).

has been duly registered the project as follows:

Article 1: Project elements (specify all project elements)

1. Name of project:

2. Project objectives:

.....(*name of business organization*) to be eligible for processing enterprises (*for business organizations that apply for and satisfy requirements for processing enterprise regulation under applicable laws*).

3. Project scale:

4. Project location:

5. The area of water/land surface used (if any):..... m² or ha.....

6. Total investments:..... dong (*in letters*) and.....USD (*in figures*). Where: Contributed capital:.....dong (*in letters*) andUSD (*in figures*), accounting for% the total investment. Schedule, ratio and methods of contribution (*specify by each investor*): (*E.g. Company A contributes 1,000,000,000 (one billion dong) equal to USD 48,000 (forty eight thousand dollars) in cash, accounting for 40% of the contributes capital, within 03 months from the date of issue of the Investment Registration Certificate*)

7. Project lifetime:.....years, from the date of issue of the Investment Registration Certificate/ Investment Decision.

8. Project progress:

- Fundamental construction progress:
- Commencement of operation (*if any*):
- Project milestones (*for multistage projects*).

Article 2: Investment assistance

Specify all investment incentives (*corporate income tax incentives, import tax incentive, land tax incentives...*) and their applicable laws, effective date (*if any*) prescribed in the Investment License, Business License and Investment Registration Certificate.

- 1. Corporate income tax:
- 2. Import tax incentives:
- 3. Land tax incentives:
- 4. Investment assistance (*if any*).

Article 3. Requirements for project operation.

Specify project requirements (*if any*) prescribed in the Investment Certificate /Investment License/Business License.

Article 4: This Investment Registration Certificate comes into effect from the date of its signature and replaces project elements prescribed in the Investment Certificate/Investment License/Business Certificate No.....issued by.....(*name of issuer*) on.....and adjusted Certificates No.....issued on.....

Article 5:This Investment Certificate is made in.....(*in letters*) original copies, each investor shall keep one copy and the business organization executing the project and the Investment Registration Authority shall keep one copy.

**HEAD OF INVESTMENT REGISTRATION
AUTHORITY**
(*sign/seal*)

Form II.5

(Article 42 of the Law on Investment and Article 27 of the Decree No. 118/2015/ND-CP)

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

.....[location and date]....

ESCROW AGREEMENT FOR PROJECT EXECUTION

No.....

.....[date]

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to.....;

Pursuant to the Decision No.....on Investment in (name of project).....dated.....by.....(if any);

Pursuant to Investment Registration Certificate No.issued on.....by.....(if any);

Pursuant to on functions, tasks, entitlement and the organizational structure of;

Pursuant to the proposal for the investment project and attached document submitted on.....and additional documents(if any) submitted on.....by.....

Parties hereto agree to conclude this agreement:

1. Investment registration authority *(specify name of the Investment Registration authority, headquarters address, telephone number, website, fax, legal representative, title,...):.....*

2. Investor(s) *(specify name of investor(s), headquarters address, telephone number, website, fax, legal representative, title,...): specify every investors or business organizations*

AGREEMENT

Article 1:*(name of project)* whose project code/Investment Decision No.....is issued onby.....*(name of issuer)* shall be secured as follows:

1. Escrow deposit:.....*dong (in letters)*

2. Information on the Investment registration authority's account:

- Account holder/beneficiary:.....*(name of investment registration authority):*

- Account No:.....

- Bank name:

- Bank address:

3. Date of deposit: by.....[date]

Article 2: The escrow deposit and refund shall be made in accordance with Article 27 of the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Article 3: This agreement is made in.....*(in letters)original copies*; each investor shall keep one copy; the other 3 copies shall be kept by the business organizations executing the project, *(name of the receiving bank)*..... and *(name of investment registration)*.

Investor(s)

**HEAD OF INVESTMENT REGISTRATION
AUTHORITY
(sign/seal)**

Form II.6

(Clause 4, Article 49 of the Law on Investment)

**INVESTMENT REGISTRATION
AUTHORITY**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No.....

.....[location and date]....

CERTIFICATE OF EXECUTIVE OFFICE REGISTRATION

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to the Investment Registration Certificate No.issued on.....by.....;

Pursuant to.....;

Pursuant to on functions, tasks, entitlement and the organizational structure of; ;

Pursuant to the application for establishment of the executive office and attached documents submitted by.....(name of investor) on(date) and additional documents(if any) submitted on.....

(NAME OF INVESTMENT REGISTRATION AUTHORITY)

Hereby certify that:

a) For individuals:

Mr./Ms/Mrs.....Date of birth.....Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....

b) For organizations:

Name of Investor:.....; Certificate of Establishment No.....issued on..... by.....(name of issuer). Headquarters address:.....

Representative office(s) in Vietnam (if any):

Representative office address in Vietnam (if any):

Legal representative: Mr./Ms/Mrs.....Date of birth.....Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....;Tel:.....Email:.....; Title:.....

Co-investors (if any): declaration of co-investors' information shall be made as per that of the first investor)

has been duly registered for the establishment of the executive office of(name of project) whose project code/the Investment Certificate/Investment registration certificate/ investment license/business license No.is issued on.....by.....(name of issuer) as follows:

Article 1: Contents of registration

1. Name of executive office:.....

2. Executive office address in Vietnam:

(For projects located outside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the address, street/hamlet, ward/commune, district, province/city. For projects inside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the street number, lot number, name, district and province/city.)

3. Scope of operation of the executive office:

4. Operation duration:.....

5. Information of the Chief of Executive Office:

Full name (*capitalize*):.....

Gender:.....Date of birth:.....Nationality:.....

ID/Passport No.:

Date of issue:.....Place of issue:

Permanent residential address:.....

Current residential address:.....

Tel:.....Fax: Email:

Article 2: This certificate is made in(*in letters*) original copies; each investor and the Investment Registration authority shall keep one copy.

**HEAD OF INVESTMENT REGISTRATION
AUTHORITY**
(*sign/seal*)

Form II.7

(Clause 4, Article 46 of the Law on Investment)

**INVESTMENT REGISTRATION
AUTHORITY**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No.....

.....[location and date]....

(NAME OF INVESTMENT REGISTRATION AUTHORITY)

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to.....;

Pursuant to the Investment Registration Certificate/Certificate of Investment/Investment License/Business License No.issued on.....by.....;

Pursuant to on functions, tasks, entitlement and the organizational structure of;Pursuant to the application for extension of the project and attached documents submitted on.....and additional documents (if any) submitted onby.....(name of business organization executing the project),

DECISION

Article 1. In response to the request for extension of the project schedule of.....(name of project) whose project code/Investment Registration Certificate/Certificate of Investment/Investment License/Business License No.is issued on.....by (name of issuer); the..... (name of Investment registration authority) hereby decides to approve/disapprove the extension of the project schedule (*specify reasons in case of rejection*) as follows:

Article 2. The schedule is extended as follows:

- Investments disbursement:
- Fundamental construction progress and commencement of operation (*if any*):
- Completion of project objectives.....

Article 3: This Decision is made in(in letters) original copies; each investor and Investment Registration authority shall keep one copy.

**HEAD OF INVESTMENT REGISTRATION
AUTHORITY**
(sign/seal)

Form II.8

DECISION ON PROJECT TERMINATION

(Clause 2, Article 48 of the Law on Investment and point c, clause 2, Article 41 of the Decree No. 118/2015/ND-CP)

**INVESTMENT REGISTRATION
AUTHORITY**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No.....

.....[location and date]....

(NAME OF INVESTMENT REGISTRATION AUTHORITY)

Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to.....;

Pursuant to copies of the Investment Registration Certificate/ Certificate of Investment / Investment License/Business License/Investment Decision No.....issued on.....by.....

Pursuant to(documents as the basis for termination of the project);

Pursuant to on functions, tasks, entitlement and the organizational structure of(name of the investment registration authority);

Pursuant to.....(documents of competent authorities, if any)

DECIDE

Article 1: To terminate:

- Name of project:

- Project code/Investment Registration Certificate/ Certificate of Investment / Investment License/Business License/Investment Decision No.....issued for the first time on.....by.....(name of issuer) and adjusted on.....

- Business organization executing the project:.....(name of business organization), Enterprise ID number/ Certificate of Investment/Decision on Establishment No.:.....issued for the first time on.....by.....(name of issuer).

- Reasons for termination:

Article 2.The investor(s) shall carry out the procedure for project termination in accordance with the procedures for project termination prescribed in applicable laws.

Article 3. This Decision comes into effect from..... This Decision is made in.....(in letters) original copies; each investor and the investment registration authority shall keep one copy.

**HEAD OF INVESTMENT REGISTRATION
AUTHORITY**
(sign/seal)

Form II.9

(point b, clause 3, Article 26 of the Law on Investment)

PEOPLE'S COMMITTEE OF.....
DEPARTMENT OF PLANNING
AND INVESTMENT

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.....

.....[location and date]....

NOTIFICATION

Of fulfillment of requirements for capital contribution, share purchase or foreign stake repurchase

To: Name of Investor(s) Pursuant to the Law No. 67/2014/QH13 on Investment dated November 26, 2014;

Pursuant to the Decree No. 118/2015/ND-CP on detailing and guiding the implementation of a number of articles of the Law on Investment dated November 12, 2015 of the Government;

Pursuant to.....;

Pursuant to on functions, tasks, entitlement and the organizational structure of; Pursuant to the registration for capital contribution, shares and stake purchase submitted on..... and their additions (if any) submitted.....[date] by.....

The Department of Planning and Investment ofnotify that:

1. The investor below satisfies/fails to satisfy the requirements for capital contribution/purchase of shares/stake in(name of company whose shares/stake is expected to be bought by the investor) whose enterprise ID number..... is issued for the first time on.....by.....(name of issuer).

a) For individuals:

Mr./Ms/Mrs.....Date of birth.....Nationality.....; ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....; Tel:.....Email:.....

b) For organizations:

Name of Investor:.....; Establishment Certificate No.....issued on..... by.....(name of issuer).

Headquarters address:.....

Legal representative: Mr./Ms/Mrs.....Date of birth.....Nationality....., ID/passport No.....Date of issue.....Place of issue.....; Current residential address.....; Permanent residential address.....; Tel:.....Email:.....; Title:.....

Reasons (for those unsatisfying requirements) :

2. Information on the business organization after taking contributions/shares/stake (for those satisfying all requirements):

2.1. Name of business organization:

Enterprise ID number/ Investment Certificate / Establishment Decision No. :..... issued for the first time by.....(name of issuer).

2.3. Headquarters address:

For projects located outside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the address, street/hamlet, ward/commune, district, province/city.

For projects inside industrial parks, export-processing zones, hi-tech zones and economic zones: specify the street number, lot number, district and province/city.

2.4. Charter capital (in figures):.....VND.....orUSD (if any).

2.5. Ratio of foreign charter capital contribution:

No.	Name of foreign investors	Contributions		Percentage (%)
		VND	USD	

2.6. Lines of business:

No.	Name of business lines	Code of business lines by VSIC (level 4)	CPC (*) code (for business lines specified in CPC,)

**HEAD OF INVESTMENT REGISTRATION
AUTHORITY**
(sign/seal)